In re Application of: Aizawa, et al.

Serial No.: 09/830,019 Filed: April 19, 2001

Page 4

PATENT Docket No. SHIM1120

## **REMARKS**

This Preliminary Amendment is submitted in advance of the first examination of the subject application. The amendments to the specification have been made solely to clarify the invention. No new matter has been entered.

Respectfully submitted,

Date: <u>February 25, 2002</u>

Lisa A. Haile, J.D., Ph.D. Registration No. 38,347 Telephone: (858) 677-1456 Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, California 92121-2133 USPTO Customer Number 28213 In re Application of: All WA et al

Serial No.: 09/830,019 Filed: April 19, 2001

Version with Markings - Page 1

PATENT Docket No. SHIM1120

## VERSION WITH MARKINGS TO SHOW CHANGES MADE

The paragraph at page 21, line 34 to page 22, line 12 was changed by the replacement paragraph as follows:

The toxin is dissolved at an adequate concentration in a buffer. While the pH is adjusted to a pH at which toxic activity is generally lost, including an acidic pH (for example, pH 2 to 4) or alkaline pH (for example, pH 8 to 11), the solution is incubated at an adequate temperature. Alternatively, the solution is incubated at a temperature at which the toxic activity is generally lost (for example, at a temperature of 40°C or higher). Otherwise, the toxin is sonicated at an adequate wavelength, or irradiated with electromagnetic wave. The residual toxic activity of the sample is assayed before, during and after the treatment. If desired, the attenuated toxin is re-incubated at an adequate temperature, for example, at 37°C, to confirm that the attenuated toxin does not revert to toxicity. If the activity of enhancing immunity is confirmed to be sufficiently high, then the preparation of attenuated toxin is completed. The chemical and physical treatments for attenuation can be properly combined.

The paragraph at page 33, line 28 to page 34, line 10 was changed by the replacement paragraph as follows:

A cholera toxin-producing bacterium (*Vibrio chorela*; Inaba type 569B strain) was cultured in a semi-synthetic casamino acid medium (liquid medium containing glucose) designed by Finkelstein et al., at 30°C for 20 hours. After the culture was completed, the culture supernatant was subjected to ultrafiltration, using a filter having pores that the cholera toxin molecule (molecular weight; 86,000 Da) could freely go through. A small amount of aluminum hydroxide gel was added to the filtrate. The gel was allowed to adsorb the toxin and then was collected by centrifugation. The toxin was eluted from the gel with 10% phosphate-10% citrate (pH 7.6), while incubating at 30 to 35°C. The eluted liquid was dialyzed against an aqueous solution containing 0.1 M citrate. Then, the solution was loaded onto a column of DEAE-Sephadex and the toxin was eluted with phosphate buffer containing 0.1 to 0.2 M saline (hereafter abbreviated as PBS). Subsequently, the sample was loaded onto a column of Sephadex G-75 and the toxin was eluted with PBS. The resulting sample was subjected to gel electrophoresis. The presence of the cholera toxin only was detected as a single band. The purity was about 95%. The yield [only was detected] was 250 mg/100L culture broth.

In re Application of: ÅIZ VA et al

Serial No.: 09/830,019 Filed: April 19, 2001

Version with Markings - Page 2

PATENT Docket No. SHIM1120

The paragraph at page 37, line 23 to page 38, line 6 was changed by the replacement paragraph as follows:

A variety of attenuated cholera toxins, of which residual toxic activities were all less than one-two thousandth of that of the natural one, were used as the adjuvants. The same experiment as in Example 2 was repeated several times to assay the titer of anti-HA-IgA in the nasal washes and titer of IgG antibody in the blood of mice. The antibody titers determined in each assay were converted to relative values to those observed when the same amounts of natural cholera toxin were use as positive controls. The relative value is indicated as the ordinate axis and the attenuation rate of the toxin (relative residual activity to the natural one) was indicated as the abscissa axis (Figure 4). It is obvious that the majority of attenuated toxins, of which residual toxic activities are less than one-two thousandth that of the natural one (one-two thousandth =  $4^{-5.46}$ ), exhibit high levels of antibody productionenhancing activity comparable to that of the same amount of natural cholera toxin. In particular, it was verified that the attenuated toxins, of which residual toxic activities are reduced to less than one-two thousandth that of the natural one, show on intranasal administration [comparable] equal or higher efficacy as compared to that of original toxin [on intranasal administration] in regard to the enhancement of antigen-specific mucosal IgA formation.

The paragraph at page 39, lines 11 to 14 was changed by the replacement paragraph as follows:

The result shows that both adjuvants of the invention, whether the natural toxin or the recombinant mutant toxin, exhibit activity of enhancing immunity of influenza vaccine administered by the intranasal <u>vaccination</u> route.